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KING & SCHICKLI PLLC

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Docket No. 380-150

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

ALAN T. PULLINS

Serial No.:

10/686,919

Group Art Unit: 1744

Filed:

October 16, 2003

Via Facsimile: 703-872-9310

For:

BAGLESS VACUUM CLEANER

LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant disclosed on January 26, 2004 via facsimile transmission to the Examiner, the information listed on the attached Information Disclosure Statement form PTO/SB/08A. However, copies of the two Japanese patent documents were inadvertently omitted from that filing. Since no Office Action has been issued in this patent application, the undersigned respectfully requests that the attached Japanese patent documents be incorporated into the previously submitted Information Disclosure Statement.

Respectfully submitted,

KING & SCHICKLI, PLLC

Warren D. Schickli

Registration No. 31,057

247 North Broadway Lexington, Kentucky 40507 (859) 252-0889

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being sent by facsimile transmission to TC1700: 703 - 872 - 9310 c/o: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 3 - 3 - 0 4

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OFFICIAL

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant discloses to the Examiner under 37 CFR 1.56, and 37 CFR 1.97-1.98, as revised (1135 OG 13) and effective March 16, 1992, the information listed on the attached form PTO - 1449. This information may be found to be material to this invention under the current applicable patent law and as interpreted by the US PTO Rules, as cited above. Review and consideration of the listed references/information during substantive examination of this application is respectfully requested.

Applicant specifically emphasizes that this statement, and/or the act of identifying these references, is not to be construed as an admission that all or any of the references are prior art to the specific invention disclosed and claimed.

Also, nothing in this statement is to be construed as a representation that this is the only material information to be found, or the best. It, however, is the only information known to the applicant at this time that is believed to meet the "materiality standard" of the law. If additional qualifying references or other information is discovered in the future, a prompt submission will be made to fulfill applicant's continuing duty of disclosure under 37 CFR 1.99.

Respectfully submitted,

KING & SCHICKLI, PLLC

Warren D. Schickli

Registration No. 31,057

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PTO/S8/08A (10-01)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Complete if Known Substitute for form 1449A/PTO 10/686,919 Application Number INFORMATION DISCLOSURE October 16, 2003 Filing Date <u>ALAN T. PULLINS</u> First Named Inventor STATEMENT BY APPLICANT 1744 Art Unit (use as many sheets as necessary) Examiner Name 380-150 Attorney Docket Number of Sheet

			U.S. PATE	NT DOCUMENTS	
Examiner nidela		Document Number Number-Kind Code ³ (# kni	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Linus, Where Relevant Passages or Relevant Figures Appear
	1	us- 5,961,677	10/05/1999	SCOTT	
	2	us- 5,254,147	10/19/1993	FINKE	*
	3	Us- 5,080,697	01/14/1992	FINKE	-
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	FOREIGN PATENT DOCUMENTS						
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0551-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Substitute for form 1449A/PTO				Complete if Known		
				Application Number	10/686,919	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	October 16, 2003	
				First Named Inventor	ALAN T. PULLINS	
(use as many sheets as necessary)				Art Unit	1744	
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	U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.1		nent Number - Kind Code ² (<i>if known</i>	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	2	บร-	5,254,147	10/19/1993	FINKE	
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^{**} Translation in the part of the reign of the Emperor must preced the serial number of the part documents at www.usbto.gov or MPEP 901.04. ** Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ** For Japaneae patent document, the indication of the year of the reign of the Emperor must preced the serial number of the patent document. ** Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ** Applicant is to place a check mark here if English language Translation is attached.

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